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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

954.39363X00

First Inventor

PUGLIESE, ANTHONY V. III

Title

**SYSTEM AND METHOD FOR DISPLAYING AND SELLING
GOODS AND SERVICES IN A RETAIL ENVIRONMENT
EMPLOYING ELECTRONIC SHOPPER AIDS**

Express Mail Label No.

APPLICATION ELEMENTS

SEE MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages: **89**]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Pages: **31**]
5. Oath or Declaration [Total Pages: **1**]
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & documents(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS Citations
Statement (IDS)/PTO-1449
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: **CREDIT CARD PAYMENT FORM**

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner: _____ Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

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or ☐ Correspondence address below

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Date

November 28, 2001

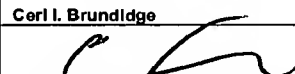
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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT		(\$)		824	
METHOD OF PAYMENT				Complete If Known	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit overpayments to: Deposit Account Number 01-2135 Deposit Account Name Antonelli, Terry, Stout & Kraus, LLP <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant Claims small entity status. See 37 CFR 1.27				Application Number Not yet assigned	
2. <input checked="" type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input checked="" type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other				Filing Date November 28, 2001	
FEE CALCULATION				First Named Inventor PUGLIESE, ANTHONY V. III	
2. BASIC FILING FEE				Examiner Name	
Large Fee Code	Entity Fee Code	Small Fee Code	Entity Fee Code	Group Art Unit	
101	740	201	370	Attorney Docket No. 954.39363X00	
106	330	206	165		
107	510	207	255		
108	740	208	370		
114	160	214	80		
SUBTOTAL (1)				740	
1. EXTRA CLAIM FEES				3. ADDITIONAL FEES	
Total Claims 20-20** = x 18 =				Fee Code Fee (\$)	
Indep. Claims 4-3** = 1 x 84 = 84				Fee Code Fee (\$)	
Multiple Dependent =				Fee Description Fee Paid	
Large Fee Code 103 18				105 130 205 65 Surcharge - late filing fee or oath	
Entity Fee Code 203 9				127 50 227 25 Surcharge - late provisional filing fee or cover sheet	
Small Fee Code 9				139 130 139 130 Non-English specification	
Entity Fee Code 9				147 2,520 147 2,520 For filing a request for ex parte reexamination	
Fee Description Claims in excess of 20				112 920* 112 920* Requesting publication of SIR prior to Examination action	
Independent claims in excess of 3				113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
Multiple dependent claim, if not paid				115 110 215 55 Extension for reply within first month	
** Reissue independent claims over original patent				118 400 216 200 Extension for reply within second month	
** Reissue claims in excess of 20 and over original patent				117 920 217 460 Extension for reply within third month	
SUBTOTAL (2) \$ 84				118 1,440 218 720 Extension for reply within fourth month	
				128 1,960 228 980 Extension for reply within fifth month	
				119 320 219 160 Notice of Appeal	
				120 320 220 160 Filing a brief in support of an appeal	
				121 280 221 140 Request for oral hearing	
				138 1,510 138 1,510 Petition to institute a public use proceeding	
				140 110 240 55 Petition to revive - unavoidable	
				141 1,280 241 640 Petition to revive - unintentional	
				142 1,280 242 640 Utility issue fee (or reissue)	
				143 460 243 230 Design issue fee	
				144 620 244 310 Plant issue fee	
				122 130 122 130 Petitions to the Commissioner	
				123 50 123 50 Processing fee under 37 CFR 1.17(q)	
				126 180 126 180 Submission of Information Disclosure Stmt	
				581 40 581 40 Recording each patent assignment per property (times number of properties)	
				146 740 246 370 For each additional invention to be examined (37 CFR § 1.129(a))	
				149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))	
				179 740 279 370 Request for Continued Examination (RCE)	
				169 900 169 900 Request for expedited examination of a design application	
				Other fee (specify) _____	
**or number previously paid, if greater; For Reissues, see above.				*Reduced by Basic Filing Fee Paid	
				SUBTOTAL (3) (\$)	

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Cerl I. Brundidge	Registration No. (Attorney/Agent)	29,821
Signature		Telephone	703) 312-6800
		Date	11/28/2001

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